

1                   **IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

2   Serial No. ....10/815,099  
3   Filing Date ..... 3/30/2004  
4   Confirmation No. ....6569  
5   Inventorship ..... Michael J. Sutherland  
6   Applicant ..... ERC  
7   Group Art Unit .....  
8   Examiner .....  
9   Attorney's Docket No. .... ER1-009US  
10   Title: Strategies for Managing Recommendations

11                   **INFORMATION DISCLOSURE STATEMENT**


12                   *References -- See Attached Form PTO-1449*

13                   **REMARKS**

14                   The citations listed are submitted in compliance with the duty of disclosure  
15                   defined in 37 CFR §1.56. The Examiner is requested to make these citations of  
16                   official record in this application.

17                   Respectfully Submitted,

18                   Date: April 11, 2006

19                   By:   
20                   David M. Huntley  
21                   Reg. No. 40309

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STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10815099
Filing Date	2004-03-30
First Named Inventor	Michael J. Sutherland
Art Unit	
Examiner Name	
Attorney Docket Number	ER1-0009US

**U.S. PATENTS**

Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1					

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**U.S. PATENT APPLICATION PUBLICATIONS**

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	20020099586	A1	2002-07-25	Bladen, et al.	
	2	20020138318	A1	2002-09-26	Ellis, et al.	
	3	20030037063	A1	2003-02-20	Schwartz	
	4	20030120589	A1	2003-06-26	Williams, et al.	
	5	20030229509	A1	2003-12-11	Hall, et al.	
	6	20040015422		2004-01-22	Glick, et al.	

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	1	Beroggi, et al., "Operational Risk Management," Engineering and Technology Management, 1998, Pioneering New Technologies: Management Issues of the Third Millennium, IEMC '98 Proceedings, International Conference on Oct. 11-13, 1998, pp. 447-451.	<input type="checkbox"/>

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**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☒ None

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